Chapter 1: Incidence and Prevalence of Sexual Offending

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Introduction

Simple questions do not always have easy answers. For example, the answers to, "How many sex offenses are committed each year?" and "How great is an individual's lifetime risk of being a victim of a sex crime?" vary greatly depending on the source consulted. Even with the best sources of data, it is extremely difficult to estimate the actual number of sex crimes committed because of low levels of reporting. Sex crimes are not only often unreported, they are often unseen by anyone other than the victim and perpetrator. One group of researchers puts it aptly:

Among highly personal and sensitive behaviors and experiences, including other forms of interpersonal violence, rape and other forms of sexual violence are probably the most difficult experiences to measure. They are rarely observed and occur in private places (Cook et al., 2011, p. 203).

Nevertheless, statistics on the incidence and prevalence of sex crimes, as well as trend data, can provide important insight into the nature and extent of sexual violence that policymakers and practitioners can use to design and deliver more effective prevention and intervention strategies. This chapter presents empirically derived information that helps paint a portrait of what we currently know about the incidence and prevalence of sexual offending and victimization. It also describes the strengths and weaknesses of the available data so policymakers and practitioners can better assess and interpret the existing knowledge base.

Key Data Sources and What They Can Tell Us About the Incidence and Prevalence of Sexual Offending

Creating a complete and accurate accounting of the extent of sexual offending is challenging. First, there is no single definition of sexual offending. Statutory definitions of sex offenses differ from jurisdiction to jurisdiction; a sex crime committed in one state might not be classified as a sex crime in an adjacent state. State laws differ on whether rape must involve physical force or threats of physical force, and so on. Even when using national standards, such as the categories reported by the 17,000 police departments submitting Uniform Crime Report (UCR) data to the Federal Bureau of Investigation (FBI), it is impossible for each of the officers in each of the departments to use the same exact criteria for deciding how to classify a crime. Comparing recorded crime and victimization statistics is also challenging due to the variety of reference periods. UCR data are reported on a calendar year basis while National Crime Victimization Survey (NCVS) data, also completed annually, are compiled based on reports of victimization in the 12 months prior to the time of the interview. Comparing victimization data from different sources is even difficult, as some sources measure lifetime victimization while others measure annual or college semester victimization. Finally, rate comparison can be problematic given the different ways in which the sample being studied is measured.

With these challenges in mind, following is a review of key data sources and what they reveal about the incidence and prevalence of sexual offending.

DEFINITIONS

Incidence refers to the number of separate victimizations, or incidents, perpetrated against people within a demographic group during a specific time period.

Prevalence refers to the number of people within a demographic group (e.g., women or men) who are victimized during a specific time period, such as the person's lifetime or the previous 12 months.

Uniform Crime Reports

The FBI compiles its UCR from data submitted by law enforcement agencies across the nation. Law enforcement agencies reporting crimes to the FBI oversee approximately 93 percent of the total U.S. population (FBI, 2004). As part of the UCR program, the FBI collects data on 8 serious crimes as well as arrest data for 21 additional crime categories. Prior to 2012, for the purposes of UCR reporting, the FBI defined forcible rape as the carnal knowledge of a female by force (including threats of force) and against her will. Conversely, the NCVS definition of sexual assault measures the extent of sexual assaults against both men and women. Also, UCR does not count sexual assault, statutory rape without force, or simple assault.

UCR indicates that 88,097 forcible rapes were reported to law enforcement in 2009, a rate of 28.7 crimes per 100,000 inhabitants of the United States. Slightly more than 4 out of 10 rapes reported to police in 2009 were cleared by arrest or exceptional means (FBI, 2009a). Overall, an estimated 21,407 arrests for forcible rape were made by law enforcement agencies in the United States in 2009 (FBI, 2009b). Offenders arrested for rape in 2009 were predominantly young, white, and (as would be expected) overwhelmingly male. Only 1 percent of the offenders arrested for rape in 2009 were female. About 15 percent of the nation’s rape arrestees in 2009 were under the age of 18, and 37 percent were 18–29 years old. Whites accounted for 65 percent of the rape arrestees, African-Americans accounted for 33 percent, and other races made up about 2 percent of the arrestees (FBI, 2009c).

NCVS STRENGTHS AND WEAKNESSES

Strengths—UCR’s key strength is that it is a consistently collected source of data that covers most of the nation. This data source has credibility among law enforcement and provides a basis for analysis of long-term trends.

Weaknesses—UCR’s key weakness for purposes of assessing sexual offending is that UCR crime incident data reflect only crimes reported to police, and this type of crime frequently is not reported to police. An additional weakness is that the classification of crimes by police officers in the field can be subjective—what one officer calls a rape, another may classify as an aggravated assault. Finally, until 2012, UCR used a definition of rape that excludes many sexual assault crimes even if they are reported to the police. Some examples include:

- Sex crimes not meeting the FBI definition of rape, including oral and anal sexual assaults, penetration with a finger or foreign object, and sexual battery.
- Sexual assaults facilitated with drugs and/or alcohol, or of an unconscious victim.
- Sexual assaults when the victim is male.
- Sexual assaults when the victim has a disability that precludes the individual from legally being able to give consent.
- Sexual assaults of children under the age of 12 (reported as child sexual assault) (Lonsway, 2010).

National Crime Victimization Survey

NCVS was established by the Bureau of Justice Statistics (BJS) in 1973 to provide a source of information on the characteristics of criminal victimization in the United States. NCVS collects information on the frequency and nature of rape, sexual assault, personal robbery, aggravated and simple assault, household burglary, theft, and motor vehicle theft. Murder is not included in NCVS as victim reporting is the method for collecting these data. A nationally representative sample of approximately 42,000 households is included in the survey. Each household is included in the survey for 3 years, and all individuals over age 12 in the household are interviewed. The initial interview is in person and subsequent interviews are conducted by phone. NCVS collects data on crimes reported to police as well as those not reported and assesses the victim’s experience with the criminal justice system. NCVS gathers data on the nature and circumstances of the crime, such as where it occurred, when it occurred, and whether the victim knew the perpetrator.

Based on NCVS data, an estimated 243,800 rape/sexual assault victimizations occurred in the United States in 2011, a rate of 0.9 victimizations per 1,000 persons age 12 and over (Truman & Planty, 2012). NCVS data also indicate that most rape/sexual assault victims are female, white, and under age 30. Based on the 2010 survey, when victim gender information was most recently reported, more than 9 out of every 10 rape/sexual assault victims in the United States were female (Truman, 2011). Further, based on the results of the 2008 national survey (the latest survey for which comprehensive rape/sexual assault victim demographic information is available), an estimated 63 percent of victims are white, 28 percent are African-American, and 9 percent are other races. In 2008, the rape/sexual assault victimization rate for African-American females was about three times higher than it was for white females. Among different age groups, people ages 16–19 and 20–24 had the highest rape/sexual assault victimization rates in 2008—2.2 and 2.1 per 1,000 persons in each age group, respectively. By comparison, BJS (2011) found that people ages 35–49 had an estimated rape/sexual assault victimization rate of 0.8 per 1,000 persons in the age group in 2008, and people ages 12–15 had an estimated rate of 1.6 (although the latter estimate is based on a small sample of cases) (Truman & Rand, 2010).

NCVS STRENGTHS AND WEAKNESSES

Strengths—Two key strengths of NCVS are that it measures unreported victimization incidents as well as victimization reported to police, and that these data are collected and reported annually. An additional strength is that it includes sex crimes against both men and women. The survey includes semiannual interviews over 3 years; the first interview is conducted in...
person and subsequent interviews are conducted by phone. This may lead to development of rapport, trust, and interviewer credibility, possibly leading to increased rates of disclosure. Administration by the U.S. Census Bureau brings added credibility to NCVS. The survey is a convenient platform for more indepth studies and has periodically administered supplements to study specific topics (e.g., stalking, crime on college campuses). * Finally, in 1993, NCVS was redesigned and began to more accurately estimate incidents of violence perpetrated by intimate partners and family members, and also to ask more directly about unwanted sexual contact (Bachman & Taylor, 1994).

Weaknesses—One challenge with NCVS is that, because it is a crime victimization survey, some respondents may not report victimizations that they do not personally label as a crime, such as unwanted sexual contact by an acquaintance. This may lead to an undercount of sex crimes. Additionally, the questions have a two-stage design: respondents are first asked if they were raped, and are only asked about specific aspects of the victimization if they respond affirmatively to this initial question. This approach may underestimate victimization compared to strategies that ask about specific behaviors† rather than a specific label.‡ For example, Fisher (2009) found that in comparing two samples of college-age women, rates of reported sexual victimization were 11 times higher when using behaviorally specific questions versus asking the yes/no rape screening question alone. Another weakness of NCVS is that it omits crimes committed against victims younger than age 12. Finally, because the survey is administered at the respondent’s home, there is the possibility that a family member or partner who perpetrated a crime against the respondent is present at the time of the interview and that the victim would fail to report the crime committed by that person.

Although NCVS data provide valuable insights about the incidence and prevalence of sexual offending nationwide, BJS acknowledges—

The measurement of rape and sexual assault represents one of the most serious challenges in the field of victimization research. Rape and sexual assault remain sensitive subjects that are difficult to ask about in the survey context. As part of the on-going redesign of NCVS, BJS is exploring methods for improving the reporting of these crimes (Truman & Rand, 2010).

National Violence Against Women Survey

Sponsored by the National Institute of Justice (NIJ) and the Centers for Disease Control and Prevention (CDC), the National Violence Against Women Survey (NVAWS) was administered in the mid-1990s to assess the extent of violence against women in the United States. A nationally representative sample of 8,000 men and 8,000 women ages 18 and older were surveyed between November 1995 and May 1996.

NVAWS found that 17.6 percent of female and 0.3 percent of male respondents had been the victim of a rape at some time in their lives. Based on this finding, the authors estimate that almost 18 million women and almost 3 million men in the United States have been raped. Rape prevalence rates were the same for minority and nonminority women, but Native American/Alaska Native women were significantly more likely to have experienced a rape in their lifetime. Rape was more likely to affect younger women than older women, with more than half of female victims and nearly three-quarters of male victims being victimized prior to age 18. Overall, 86 percent of rape victims were female, while most rapists were male. Finally, female victims were significantly more likely than male victims to have been the victim of a rape by a current/former intimate partner and to be injured during the rape (Tjaden & Thoennes, 2006).

NVAWS STRENGTHS AND WEAKNESSES

Strengths—The key strength of NVAWS is that it was a nationally representative sample of both men and women. Another key strength of this study was the design—respondents were asked a series of questions (referred to as a scale) about their experience of sexual assault, rather than being asked yes/no questions. This means that victims who did not label their experience as a crime could be included in measures of sexual victimization. Questions included items identical to those used in the National Women’s Study, which is described later in this chapter, allowing for comparability across studies (Tjaden & Thoennes, 2006). Finally, a strength of this study is that it measured both per-year and lifetime victimization.

Weaknesses—The key weakness of NVAWS is its age. Conducted 15 years ago, the findings may or may not reflect the experiences of women today. Another weakness is that the survey was conducted by phone. Individuals without phones would not be included in this sampling frame. At the time of this study, individuals without phones were more likely to have low incomes.

National Intimate Partner and Sexual Violence Survey

The National Intimate Partner and Sexual Violence Survey (NISVS) is an ongoing, nationally representative telephone survey that collects information about sexual violence, stalking victimization, and intimate partner violence among adult women and men ages 18 and older in the United States. CDC launched the survey in 2010, with the support of NIJ and the U.S. Department of Defense (Black et al., 2011). NISVS data will be collected annually as long as funding for the survey is available.

NISVS is unique because it is the first ongoing survey designed to describe and monitor sexual violence, stalking, and intimate partner violence from a public health rather than crime perspective. Survey respondents are first asked about various health conditions to establish a health
context for the survey. Then they are asked about victimization experiences using behaviorally specific questions. Research has shown that this health-based approach increases disclosure of violent victimization.\textsuperscript{5}

NISVS also collects data on victimization involving sexual violence other than rape, control of reproductive health, and other forms of sexual victimization that have not been measured in the past. It is also the first survey to provide national and state-level data on sexual violence, stalking, and intimate partner violence.

At the time of this review, findings from the first year of NISVS data collection were available.\textsuperscript{5} Based on 16,507 completed interviews (9,086 women and 7,421 men), the 2010 survey found that nearly 1 in 5 women (18.3 percent) and 1 in 7 men (1.4 percent) have been raped in their lifetime. About one-half (51.1 percent) of female rape victims reported being victimized by an intimate partner, while nearly half of all women (48.4 percent) and men (48.8 percent) reported experiencing psychological aggression by an intimate partner.

One percent of the female respondents in the 2010 survey reported being raped in the 12 months prior to taking the survey. That equates to an estimated 1.3 million women nationally. About 1 in 20 women and men (5.6 percent and 5.3 percent, respectively) reported being victims of sexual violence other than rape, while about 4 percent of women and 1.3 percent of men reported being stalked in the 12 months before the survey.

The 2010 NISVS also found that about 1 in 5 African-American and white non-Hispanic women (22 percent and 18.8 percent, respectively) and 1 in 7 Hispanic women (14.6 percent) have been raped in their lifetime. More than 1 in 4 (26.9 percent) Native American/Alaska Native women and 1 in 3 (33.5 percent) multiracial non-Hispanic women reported being raped in their lifetime. More than 4 out of every 10 women of non-Hispanic African-American or Native American/Alaska Native race/ethnicity (43.7 percent and 46.0 percent, respectively), and 1 in 2 multiracial non-Hispanic women (53.8 percent) reported experiencing rape, physical violence, and/or stalking by an intimate partner in their lifetime.

The 2010 NISVS also produced the first national data on the prevalence of sexual violence, stalking, and intimate partner violence victimization among lesbian, gay, and bisexual women and men. Lesbians and gay men were found to have sexual violence victimization rates equal to or higher than those reported by heterosexuals, while bisexual women had significantly higher lifetime prevalence rates of rape and sexual violence other than rape compared to both lesbian and heterosexual women (National Center for Injury Prevention and Control, 2011a).

NISVS STRENGTHS AND WEAKNESSES

Strengths—Key strengths of NISVS are its use of a public health approach, its exclusive focus on sexual violence, its assessment of 60 different violent behaviors, its coverage of more than select populations (e.g., college students), and its use of both cell phone and landline phone samples. NISVS also collects information on forms of sexual violence that have not been measured in a national survey before, and it is the first survey to provide both national and state-level data on sexual violence, stalking, and intimate partner violence. It also is the first study to produce national prevalence estimates of intimate partner violence, sexual violence, and stalking victimization among lesbian, gay, and bisexual women and men.

Weaknesses—NISVS relies on self-reports of victimization experiences. In addition, "although NISVS includes a large sample size, in some cases statistically reliable estimates for all forms of violence among all populations and sub-populations are not able to be calculated from annual data" (National Center for Injury Prevention and Control, 2011b, p. 2).

National College Women Sexual Victimization Study

The National College Women Sexual Victimization Study (NCWVSV), funded by NIJ, surveyed a randomly selected, national sample of 4,446 women attending 2- or 4-year colleges during the fall semester of 1996 (Fisher, Cullen, & Turner, 2000). Students were asked via telephone about events that occurred that school year, a period of approximately 7 months (Fisher, Cullen, & Turner, 2000). Lifetime exposure to sexual victimization was not assessed.

Fisher, Cullen, and Turner (2000) found that 2.8 percent of college women who responded to the survey had experienced either a completed or attempted rape during the semester. Of those who reported rape, 23 percent reported multiple rapes. As the study period included only one semester of college, the authors caution that over the years of the participants’ college experience, rates of victimization may be higher than reported for the time period under study. Further, they also found that many women did not characterize their sexual victimization as a crime. For the incidents categorized as rape by the researchers, 49 percent of the women responded “yes” when asked if they would describe the incident they experienced as a rape (Fisher, Cullen, & Turner, 2000). The reasons survey respondents gave for not describing the incident as rape were varied, and they included embarrassment, not clearly understanding the legal definition of rape, not wanting to define someone they know who victimized them as a rapist, or because they blamed themselves for their sexual assault (Fisher, Cullen, & Turner, 2000). Most victims knew their offender—the authors found that 9 out of 10 offenders were
known to their victim. Most often the offender was a boyfriend, ex-boyfriend, classmate, friend, acquaintance, or coworker (Fisher, Cullen, & Turner, 2000). Campus rape victims were not likely to report the crime to police; fewer than 5 percent of completed and attempted rapes were reported. However, in approximately two-thirds of the cases, the victim did report the incident to another person, most typically a friend rather than a family member or college official (Fisher, Cullen, & Turner, 2000).

**NCWSV STRENGTHS AND WEAKNESSES**

Strengths—Key strengths of NCWSV are its sample size (4,446 women) and the sample of colleges was a probability sample proportionate to female student enrollment and college location (urban, suburban, and rural) (Fisher, Cullen, & Turner, 2000). Additionally, in an attempt to capture victimization that may not be classified by the victim as rape, the survey used a two-stage process to ask behaviorally specific questions to assess victimization (Fisher, Cullen, & Turner, 2000).

Weaknesses—A key weakness of this study is that it addresses a narrow population—women attending college. Other weaknesses are that comparisons to other studies are difficult because the reference period is 7 months rather than 12 months and that lifetime victimization is not measured. Finally, the two-stage process for categorizing experiences as rape is not without methodological challenges and may require fine-tuning if it is to consistently estimate rates of victimization in the future (Cook et al., 2011).

**Sexual Experiences Survey**

The Sexual Experiences Survey (SES) was developed in the late 1970s to “operationalize a continuum of unwanted experiences that at the extreme reflect legal definitions of attempted rape and rape” (Koss et al., 2007, p. 359). Unlike the other sources described here, SES is neither an annual data collection instrument nor a one-time national sample. Instead, it represents a standard set of questions that have been used repeatedly by scholars, particularly in the public health field, to study unwanted sexual experiences. In some studies, the survey has been used in its original form, while in others it has been adapted by researchers who have customized the questions to suit their specific research needs. This self-report survey instrument was revised in 1987 and again in 2007. Its questions were modeled on the statutory definition of rape in the state of Ohio. Separate versions of the survey assess victimization and perpetration of sex crimes. The survey tool is available in both short form and long form, allowing for screening or for indepth study. Respondents are asked about incidents since age 14 and in the past year, thus providing both annual results and lifetime rates of victimization.

Using SES questions, Testa and colleagues (2004) conducted in-person interviews with 1,014 women living in or near Buffalo, NY, between May 2000 and April 2002. Of the respondents, 38 percent indicated they had experienced sexual victimization since age 14. The most common experience reported by respondents was unwanted sexual contact. Of the respondents, 27 percent reported unwanted sexual contact, 17 percent reported a rape, and 12 percent reported an attempted rape (Testa et al., 2004).

**SES STRENGTHS AND WEAKNESSES**

Strengths—A key strength of this instrument is the wide range of sexual victimization that is characterized by the questions asked. Also, the instrument does not use the word “rape,” but rather uses behaviorally specific descriptions of unwanted sexual acts. This is done to minimize victim underreporting in cases in which the unwanted sexual act is not labeled as a rape by the victim.

Weaknesses—Different methods (e.g., sampling frame, sample size, method of survey administration) in using SES can lead to variability in responses. Some scholars suggest that such a comprehensive set of questions may lead to overcounts of victimization incidents. Further, basing definitions on the laws of the state of Ohio could limit the applicability in other states. An additional weakness is that in some cases questions are lengthy, possibly leading the respondent to become confused or distracted. In the revised SES, “each item queries the sexual act, tactic used, and expression of non-consent or reason for inability to consent.” (Cook et al., 2011, p. 207).

**National Child Abuse and Neglect Data System**

The National Child Abuse and Neglect Data System (NCANDS) has provided annual counts of incidents of child sexual abuse since 1992. NCANDS data are based on reports from participating state child protection agencies. NCANDS includes case-level data on the characteristics of screened-in reports of abuse and neglect made to the agencies, including the children involved, the types of maltreatment alleged, the disposition of the investigation, the risk factors of the child and the caregivers, the services provided, and information about the perpetrators. The U.S. Department of Health and Human Services publishes an annual report—Child Maltreatment—that summarizes NCANDS data reported from the states. Each state has its own definition of child abuse and neglect based on federal law (Children’s Bureau, 2010). Child sexual abuse is reported annually along with incidents of neglect, physical abuse, and psychological maltreatment. For 2009, NCANDS estimates that nearly 66,000 children were victims of sexual abuse.

**NCANDS STRENGTHS AND WEAKNESSES**

Strengths—This data source provides annual data that are published and made available on the U.S. Department of Health and Human Services website. State participation is nearly universal—as of 2007, all 50 states were providing summary data, and many also
Confidentially provided case-level data. In place since 1992, this data source can help track trends over time.

Weaknesses—Data from this source reflect incidents of abuse by caretakers reported to child protection agencies. Sexual abuse committed by a nonfamily member or a noncaretaker may not be included in this data set. Sexual abuse reported directly to law enforcement, and not to a child protective agency, would also not be included in this data set.

National Survey of Children’s Exposure to Violence

The National Survey of Children’s Exposure to Violence (NatSCEV) is sponsored by the Office of Juvenile Justice and Delinquency Prevention (OJJDP) and supported by CDC. This is the first national survey to measure both past-year and lifetime exposure to conventional crime, child maltreatment, victimization by peers and siblings, sexual victimization, witnessing and indirect victimization, school violence and threats, and Internet victimization for children ages 17 and younger. (For more on “Internet-Facilitated Sexual Offending,” see chapter 4 in the Adult section.) This study was designed by the Crimes Against Children Research Center at the University of New Hampshire. It attempted to measure children’s experience of violence in the home, school, and community. Telephone interviews were conducted with respondents ages 10–17. For respondents ages 9 and under, their adult caregivers were interviewed.

Key research findings were that 6.1 percent of children had been sexually victimized in the past year and 9.8 percent had been a victim during their lifetime. Sexual victimization includes attempted and completed rape, sexual assault, flashing or sexual exposure, sexual harassment, and statutory sexual offenses. In addition, 16.3 percent of youth ages 14–17 had been sexually victimized in the past year and 27.3 percent had been sexually victimized during their lifetime. This study found that children were often the victims of multiple types of violence. A child who was physically assaulted in the past year was found to be five times as likely to have been sexually victimized (Finkelhor et al., 2009).

In addition, the majority (61 percent) of reported past-year peer victimizations (including assault, bullying, sexual victimization, and property crime) occurred at school (Turner et al., 2011). Emotional bullying by peers was most likely to occur at school, while sexual assault and rape were most likely to occur elsewhere (Turner et al., 2010). Considering only serious violent events (rape, sexual assault, robbery, and aggravated assault), the rates for these crimes at school for adolescents ages 12–18 are lower than those occurring away from school. In 2008, the serious violent victimization rates were 4 per 1,000 students at school and 8 per 1,000 students away from school (Robers, Zhang, & Truman, 2010). Children living in households with lower incomes have higher rates of exposure to sexual and physical assault than those living in households with middle and high incomes (Crouch et al., 2000).

NatSCEV STRENGTHS AND WEAKNESSES

Strengths—This survey is notable for its large sample size (4,549 respondents). A major strength of this study is the care taken to oversample in certain populations to allow for subgroup analysis. For example, areas with high concentrations of African-American, Hispanic, and low-income households were oversampled so that analysis could be performed for these subgroups. This study also examines lifetime exposure to violence, crimes against children younger than age 2, threats of violence, and Internet victimization.

Weaknesses—A weakness of this study is that it is not conducted annually, so annual comparisons to other data sources are not possible. Further, while NCVS includes interviews every 6 months, NatSCEV includes a single interview. Some respondents may have difficulty accurately recalling incidents in the past year, versus the past 6 months.

Developmental Victimization Survey

A precursor to NatSCEV and conducted by the same researchers, the Developmental Victimization Survey (DVS) was a random-digit-dial survey of households conducted in 2003. The survey sample consisted of 2,030 children ages 2–17 within the households surveyed. One child from each household was randomly selected (the child with the most recent birthday). Telephone interviews were conducted directly with children ages 10–17, while a caregiver was questioned regarding children ages 2–9. DVS uses the Juvenile Victimization Questionnaire, which is widely known and used to screen individuals for direct contact with children ages 10–17, while a caregiver was questioned regarding children ages 2–9. DVS consists of 2,030 children ages 2–17 within the households surveyed.

DVS STRENGTHS AND WEAKNESSES

Strengths—The strengths of DVS are its large sample size (n = 2,030) and the wide range of screening questions asked of the child sample.

Weaknesses—DVS interviews were administered in English only, thereby missing non-English speakers, and only using the telephone, eliminating from the sample those households that did not have a phone. Further, interviewing of children younger than age 10 was done with the child’s caregiver, which may have limited the reporting of victimization (particularly if perpetrated by the caregiver) (Finkelhor et al., 2005). Finally, DVS did not sample children younger than age 2 or ask questions related to a broader assessment of types of violence (e.g., witnessing intimate partner violence and other violence in the home). Many of the weaknesses of DVS have been addressed by the more current NatSCEV.
assaulted by a male. Eighty-one percent of victims were ages 12–17. Victimization of whites and African-Americans was proportionate to their presence in the general child population. Hispanics constituted 9 percent of victims and 16 percent of the U.S. child population. Seventy-one percent of child sexual assault victims were victimized by someone they knew or recognized by sight, 18 percent were victimized by a stranger, and 10 percent were victimized by a family member (Finkelhor, Hammer, & Sedlak, 2008).

Key research findings were that an estimated 285,400 children were victims of a sexual assault, for a rate of approximately 4.1 victims per 1,000 children in the United States. Of these, an estimated 141,400 children were victims of a rape (anal, oral, or vaginal penetration) and 60,400 experienced an attempted rape. Eighty-nine percent of victims were female and 95 percent were younger than age 18. In terms of the relationship between the perpetrator and the victim, 22 percent of those who reported being raped, 56 percent reported one rape and 39 percent indicated they were raped more than once (with 5 percent uncertain how many times they were raped). Twenty-nine percent of the victims were raped by a stranger, while the vast majority of rapes were perpetrated by an intimate partner, family member, friend, or neighbor (National Victim Center & Crime Victims Research and Treatment Center, 1992).

The National Incidence Studies of Missing, Abducted, Runaway, and Throwaway Children–2 (NISMART–2) was an estimate of the number of missing children based on surveys of households, juvenile residential facilities, and law enforcement agencies. Data were collected via telephone interviews in 1999 and have been reported in several publications.

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The research results indicated that for women of all ages, an estimated 18 percent had been raped during their lifetime. This translates into an estimated 20 million victims of rape out of 112 million women in the United States. In looking at past-year victimization alone, the authors estimated that more than 1 million women had been raped. Of those who reported that they were raped, 16 percent indicated they had reported the crime to law enforcement. The study found that victims of drug-facilitated or incapacitated rape were less likely to report the crime to the police than victims of forcible rape (Kilpatrick et al., 2007).

National Survey of Adolescents

The National Survey of Adolescents consisted of interviews of 4,023 adolescents (ages 12–17) on various topics that included victimization history. The survey used random-digit dialing and stratified sampling techniques to identify households that had a telephone, an adolescent ages 12–17 with a parent or legal guardian, and both a parent or guardian and an adolescent who spoke English or Spanish.

Results of the survey indicated that 8.1 percent of those responding had a history of sexual victimization. Native American adolescents had the highest prevalence rate of sexual victimization (15.7 percent), compared to 13.1 percent for African-Americans, 10 percent for Hispanics, 6.7 percent for whites, and 6.5 percent for Asians. Adolescent females were at greater risk of sexual assault than males (13 percent compared to 3.4 percent). Seventy-four percent of victims reported knowing the perpetrator prior to the sexual offense. Finally, only 13 percent of victims reported the sexual offense to the police (Kilpatrick, Saunders, & Smith, 2003).

Adverse Childhood Experiences

The Adverse Childhood Experiences (ACE) study was conducted with members of the Kaiser Permanente Health Plan who had visited the San Diego Health Appraisal Clinic. Data were collected from 17,337 study participants between 1995 and 1997. Unlike some of the other studies described above, the ACE study surveyed adults about a variety of previous childhood experiences: psychological, physical, and sexual abuse during childhood; substance abuse; mental illness; violence against the respondent’s mother; and criminal behavior in the household. The results indicated that 20.7 percent of the sample experienced childhood sexual abuse, including 24.7 percent of women and 16 percent of men (Felitti et al., 1998).

Youth Risk Behavior Survey

The Youth Risk Behavior Survey (YRBS) is a national school-based survey conducted biennially by CDC. In 2009, 16,460 questionnaires were completed in 158 schools. This survey monitors several categories of health-risk behaviors among youth and young adults, including violence. Both public and private schools in the United States with students in grades 9–12 are included in the sampling frame for this survey. YRBS includes violence measures for dating violence, rape, and bullying. The 2009 study indicated that 8 percent of the sample had been subject to forcible sexual intercourse, with 11.8 percent of females and 4.5 percent of males reporting such an incident (Eaton et al., 2012). (For a discussion of adult "Sex Offender Risk Assessment," see chapter 6 in the Adult section.)

Survey of Inmates in Local Jails

BJS’s Survey of Inmates in Local Jails (SILJ) has been conducted periodically over approximately the past 40 years and consists of interviews with a national sample of jail inmates. Based on the 2002 survey of nearly 7,000 jail inmates, it is estimated that the most serious offense for 3.4 percent (nearly 21,200 inmates) of the 623,492 jail inmates in the United States was for rape (0.6 percent) or another type of sexual assault (2.8 percent) (James, 2004). This survey and the 2004 Survey of Inmates in State and Federal Correctional Facilities (SISFCF) both provide information about the prevalence of sexual offenders within local, state, and federal correctional/detention facilities.5

Trend Data

Historical data on the incidence of sexual assault can provide important insight about trends over time. Data from law enforcement as well as from victimization surveys suggest that sexual assaults, much like other types of crime, have substantially declined over the past 10 to 20 years.

According to the FBI, the number of forcible rapes reported to the police fell 14 percent between 1990 and 2009, from 102,555 to 88,097. The number of rapes reported to police per 100,000 U.S. residents also fell during that time (from 41.1 to 28.7), a decline of 30 percent (FBI, 2009). Data on sexual assault victimization surveys follow a similar pattern. According to NCVS, the number of rape/sexual assault victimizations for those ages 12 and older in the United States fell by more than 30 percent between 2002 and 2011, dropping from 349,810 to 243,800 over the 9-year period. Overall, the estimated number of rape/sexual assault victimizations fell by more than one-third in 2011 (from about 383,000 in 1990 to 243,800) (Rennison, 2000; Truman & Planty, 2012). Finally, data from NCANDS indicate that substantiated cases of child sexual assault in the United States have also fallen in recent years, dropping by 53 percent between 1992 and 2006 (Finkelhor, Hammer, & Sediak, 2008).

Limitations of the Data

Although increased scholarly attention has been paid to sexual victimization and victimization surveys in the past two decades, and notable improvements regarding the reporting of sexual assault crimes have recently been made in the national UCR program, much remains to be done to develop standard definitions of sex crimes and to measure victimization in a way that elicits self-
report of a traumatic experience many victims may choose not to discuss. As Cook and colleagues (2011, p. 210) point out, the field "remains hampered by the lack of a standard definition of rape and its components of act, tactics, and non-consent." What is known about victims and offenders is based on an incomplete picture of the true extent of victimization. Studies of victims rely on self-report, resulting in dramatic undercounts of victimization. What we know to date is that sexual victimization is far more common than existing sources indicate and that more needs to be done to develop a credible literature on the extent, causes, and consequences of sexual victimization.

**Summary of the Data**

Information on the incidence and prevalence of sexual offending in the United States can be obtained from a diverse range of sources. Some sources, such as the FBI's UCR program, focus on sex crimes reported to the police and the offenders arrested for those crimes. Others, such as NCVS, focus on victims of sex crimes. Some sources collect and report data on a regular, ongoing basis. Others do so only periodically or on a one-time basis. A wide range of methods are used to collect incidence and prevalence data as well. Despite these differences, the available data provide important insight about the extent of sexual offending in the United States, along with the characteristics of victims and known offenders.

The FBI's UCR statistics indicate that in 2009, slightly more than 88,000 forcible rapes were reported to law enforcement and that just over 21,000 arrests for forcible rape were made (FBI, 2009a, 2009b). Arrests for forcible rape are typically young, white males (FBI, 2009c). Based on NCVS data, nearly 244,000 rape/sexual assault victimizations are estimated to have occurred in the United States in 2011 (Truman & Planty, 2012). NCVS data also indicate that most rape/sexual assault victims are female, white, and younger than age 30 (Truman, 2011). Based on NCANDS estimates, nearly 66,000 children were victims of sexual abuse in 2009 (Children's Bureau, 2010).

NVAWS found that 17.6 percent of women and 0.3 percent of men had been the victim of a rape at some time in their life, meaning that almost 18 million women and almost 3 million men in the United States have been raped (Tjaden & Thoennes, 2006). Data from NWS indicated that 13 percent of women reported being the victim of at least one completed rape in their lifetime. Based on this, it was estimated that 12.1 million women in the United States have been the victim of one forcible rape in their lifetime (National Victim Center & Crime Victims Research and Treatment Center, 1992). Trend data indicate that the number of forcible rapes reported to the police fell 14 percent between 1990 and 2009, and that the estimated number of rape/sexual assault victimizations fell by more than one-third between 2002 and 2011 (Rennison, 2000; Truman & Planty, 2012).

Although these data provide insight into the incidence of sexual offending and victimization, the gap between sexual victimizations and sex crimes reported to police, and the characteristics of victims and perpetrators, they must be interpreted in light of their limitations. Differences in the methods used to collect data as well as when the data were collected can render the comparison of statistics from certain sources difficult and sometimes meaningless. Users of the data must also recognize that quantitative statistics on sexual offending and victimization lack precision. An accurate accounting of sexual offending and victimization is virtually impossible because so many sex crimes and victimizations are hidden from public view. Although the available data can help policymakers and practitioners better understand incidence patterns and trends, efforts to enhance existing data systems and improve both the quality and comparability of the data are needed.

**Underreporting of Sex Crimes**

One of the greatest challenges to developing an accurate estimate of the incidence and prevalence of sexual offending is the fact that not every victim will disclose the incident to law enforcement, and many will also not disclose the incident to a researcher during a survey. Research has clearly demonstrated that many sex offenses are never reported to authorities. For example, NCVS data suggest that only about one in four rapes or sexual assaults have been reported to police over the past 15 years, with some between-year fluctuations (Bachman, 1998; Truman & Planty, 2012). In addition, Tjaden and Thoennes (2006) found that only 19 percent of women and 13 percent of men who were raped since their 18th birthday reported the rape to the police. Several studies of victims have shown that the likelihood that a sexual assault will be reported to law enforcement decreases with the victim's age (Kilpatrick, Saunders, & Smith, 2003). Finally, NWS results indicated that 84 percent of victims did not report the rape to the police. Of the 16 percent who did report the rape to the police, 12 percent did so within 24 hours of the rape and 4 percent did so more than 24 hours after the rape (National Victim Center & Crime Victims Research and Treatment Center, 1992).

Attrition is the dropping of a legal case by authorities, for various reasons. Larcombe (2012, p. 483) argues that “the attrition of sexual offenses … both before and after reporting to police, ensures that the minority of cases that secure a conviction for a sexual offense are not representative of the most common or injurious forms of sexual violence experienced by women and children.” Citing two Australian studies on police and prosecutorial discretion (Statewide Steering Committee to Reduce Sexual Assault, Victoria, 2006; Lievore, 2004, as cited in Larcombe, 2012, p. 482), she points out that cases “clearly interpretable as violence” and not involving what appears to be “potential sexual partners” are more likely to proceed to conviction. Examples include cases involving male and younger victims and victims whose perpetrators were strangers, particularly when force, threats, and weapons are used and result in physical injury to the victim and when verbal resistance is offered. Further, Larcombe (2012) reports that women ages 15–24, those with a psychiatric disability or a mental health issue, and indigenous women are less likely to see their cases result in conviction. In terms of the offenders, those who have a prior history of criminal behavior (particularly...
practices, and research need to consider attrition dynamics and their implications. Although the studies cited by Larcombe may have limited applicability because they used Australian samples, research on attrition dynamics and characteristics using American samples likely would strengthen our understanding of the incidence and prevalence of sexual victimization in the United States.

Special Populations and Related Topic Areas

Some studies have focused on a number of special populations and related topic areas regarding the incidence and prevalence of sexual victimization. Several of these areas are addressed below: stalking, sexual offending on college campuses, and sexual offending against individuals with disabilities, members of the military, and Native Americans in Indian Country.

Stalking

Stalking was first defined as a crime in 1990 by the state of California. Since that time, every state and the District of Columbia have passed a law against stalking. State statutes define stalking behavior rather differently, however, and no single legal definition of stalking applies across all states. Even for a victim, defining stalking can be difficult, as behaviors that often appear as a part of stalking (e.g., gifts, notes, and visits) are not in themselves criminal. Rather it is the nature of the behavior—unwanted attention, unwanted gifts, persistent or threatening notes, harassing visits, and so forth—that defines the act as stalking. In some states, stalking laws are invoked for verbal threats, while in other states the threat must be written or implied by the conduct (Klein et al., 2009). In some states the perpetrator must act in a way that makes the victim fearful, and in other states it is sufficient if the perpetrator acts in a way that would make a reasonable person fear the behavior (Fox, Nobles, & Bonnie, 2011). As Tjaden (2009, p. 263) points out, “Nearly 20 years after the first stalking law was enacted, many policymakers and practitioners still are unclear about what constitutes stalking.”

With this confusion among state laws, it is not surprising that there has been no standard definition used by researchers in studying the crime of stalking. Fox, Nobles, and Bonnie (2011) found that the four major national assessments of the extent of stalking all used different questions, making comparisons across the studies problematic. A few of the questions are similar, but in no case are the exact same questions used. Fox, Nobles, and Bonnie (2011) also point out that the major studies have failed to publish reliability estimates for their scales, reducing the ability to generalize beyond the sample population. It also should be noted that the sampling frame used in each of the four national stalking victimization studies is different. NVAWS, NCVS, and the National Intimate Partner and Sexual Violence Surveillance System (NIPSVSS) sample the general population, while NCWSV samples only female college students (Fox, Nobles, & Bonnie, 2011). In reviewing 58 peer-reviewed assessments of stalking, Fox, Nobles, and Bonnie (2011) found that 55 percent of the studies examined a college-age population while 45 percent examined the general population; they also found that many studies of stalking rely on subpopulations, such as college students or nonprobability samples.

Tjaden and Thoennes (1998) conducted the first-ever national study of stalking within NVAWS and found that 8 percent of women and 2 percent of men have been stalked in their lifetime. This telephone survey of 8,000 men and 8,000 women found that most stalking victims were female (78 percent) and most perpetrators were male (87 percent). In most cases, stalking involved perpetrators and victims who knew each other—only 23 percent of female victims and 36 percent of male victims reported being stalked by strangers. Stalking was in many cases the continuation of a violent relationship—81 percent of women who were stalked by a present or former spouse or partner had also been sexually assaulted by that person and 31 percent had been raped by that person (Tjaden & Thoennes, 1998). These findings demonstrate the importance of looking at domestic violence, stalking, and sexual assault as a connected constellation of behaviors, given that the risk to the victim increases with the presence of these factors. In addition, Tjaden and Thoennes (1998) found that about half of stalking victimizations (55 percent for women and 48 percent for men) were reported to the police. In one out of five cases reported to the police, the victim indicated the police did not take any action. Only 24 percent of women and 19 percent of men who reported a victimization to the police indicated that their stalker had been criminally prosecuted (Tjaden & Thoennes, 1998).

In 2006, NCVS included a Supplemental Victimization Survey to assess the extent of stalking. Approximately 65,000 men and women completed the survey between January and June 2006. This survey estimated that 2.4 percent of the population experienced stalking or harassment in the year prior to the study (Baum et al., 2009). The study did not seek to assess lifetime victimization. Women were at greater risk of stalking victimization than men (3 percent of women reported being a victim of stalking compared to 1.7 percent of men). Stalking and harassment rates for those ages 18–24 were significantly higher than for other age groups, with the rate of victimization decreasing with age. For those who reported stalking, many reported being victimized by persistent offenders—46 percent of stalking victims experienced at least one unwanted contact per week, and 11 percent of victims said they had been stalked for 5 years or more (Baum et al., 2009). Most offenders were known to their victims (73 percent), and more than half of stalking victims lost 5 or more days from work due to their victimization (Baum et al., 2009). One-third of women and one-fifth of men reported stalking or harassment to law enforcement. However, many other victims did not categorize their experience as stalking. Researchers asked questions about seven types of harassing or unwanted behavior and classified a respondent as a victim of stalking if he or she had experienced at least one of the behaviors on at least one occasion and felt fear as a result. Respondents who experienced the behavior but did not feel fear were categorized as victims of harassment. Researchers did not use the term “stalking” until the final question. Of those whose experiences were classified as stalking, 60 percent reported that the experience was “not stalking” (Baum et al., 2009). This finding raises the issue of definitions and terminology, and underscores the complexity of accurately providing a picture of the national experience of victimization in general and stalking in particular.
Sexual Offending on College Campuses

College campuses have frequently been used by researchers at universities seeking convenience samples for small studies. College campuses have also become of interest to researchers and policymakers in order to better understand the unique risks for young people during their first experience of living without parental supervision.

In a special study on the victimization of college students, BJS found that students experience both violent crime and serious violent crime at lower rates than nonstudents of the same age (Hart, 2003). Campuses themselves may provide some protection, as BJS found: "The number of off-campus victimizations of college students was over 14 times greater than the number of on-campus victimizations" (Hart, 2003, p. 1). The only category of violent crime for which the rates were not lower on college campuses was rape. Unlike robbery, aggravated assault, and simple assault, rape was reported at the same rate for those on campuses and same-age nonstudents. Several studies further examine rape and sexual victimization on college campuses.

In examining lifetime exposure to sexual violence, higher rates of rape victimization tend to be found for college women. Kilpatrick and colleagues (2007) conducted a national telephone survey of drug-facilitated, incapacitated, and forcible rape that included 2,000 women attending college. The study found that 11.5 percent had experienced rape during their lifetime. When looking at past-year victimizations, they found that 5.2 percent of college women were raped. Of those reporting rape in this study, about 12 percent of the crimes were reported to law enforcement. Victims of drug-facilitated and incapacitated rape were less likely than victims of forcible rape to report to the authorities (Kilpatrick et al., 2007).

A larger and more recent study found that similar levels of college women reported being sexually victimized in their lifetime. McCauley and colleagues (2009) interviewed a national sample of 1,980 college women and found that 11.3 percent reported having been sexually victimized at some point in their life.

The link between alcohol or drug use and sexual victimization has been studied, with consistent findings of a strong connection. Mohler-Kuo and colleagues (2004) surveyed nearly 24,000 women between 1997 and 2001 in the College Alcohol Study. They found that 47 percent had been raped and of those, 72 percent were intoxicated at the time the incident occurred (Mohler-Kuo et al., 2004). In a survey of approximately 300 female college students, Lawyer and colleagues (2010) found that 29.6 percent of the respondents reported a drug-related sexual assault or rape, and 5.4 percent reported a forcible sexual assault or rape. Voluntary incapacitation (via drugs or alcohol) preceded 84.6 percent of drug-related assaults, and involuntary incapacitation preceded 15.4 percent of drug-related assaults. The majority of drug-related assaults (96.1 percent) involved alcohol consumption prior to assault (Lawyer et al., 2010).

Finally, when looking at stalking behavior on college campuses as part of NCVS, Fisher, Cullen, and Turner (2000) found that 13 percent of college women had been stalked. This rate of victimization is much higher than that reported by Tjaden and Thoennes (2006). The key difference in the studies is the age of the sample population. While Tjaden and Thoennes (2006) studied all ages, Fisher, Cullen, and Turner (2000) studied college students exclusively, and this is an age group at higher risk for stalking.

In summary, college students seem to be at unique risk for sexual violence and warrant the increased attention paid by policymakers in the form of sexual violence prevention and intervention programs.

Sexual Offending Against Individuals With Disabilities

The rate at which individuals with disabilities are victimized is not well understood. Until mandated by law, no national statistics on this population were gathered in the United States. The few studies that have been conducted are mainly outside the United States or are exploratory in nature. Petersilia (2001, p. 658) described the state of literature in the field as "... not a scientifically rigorous literature, consisting mostly of anecdotal evidence, data from convenience samples, and nonrandom program evaluations."

Key issues for individuals with disabilities include challenges reporting crimes and being believed or taken seriously when they do report crimes. This problem is documented in Sorensen (2002), who refers to crime victims with disabilities as "invisible." Another challenge is repeat victimization. In a Canadian study of 162 individuals with cognitive disabilities, Sobsey and Doe (1991) found that 80 percent of those who had been sexually assaulted had been victimized more than once, while 49.6 percent had experienced 10 or more sexual assaults.

In one of the few studies specifically designed to gather data from individuals with cognitive disabilities, Wilson and Brewer (1992) surveyed 174 individuals at a sheltered workshop in Australia. The study found that the rate of sexual assault was 10.7 times greater in the sheltered workshop than for the general population (Wilson & Brewer, 1992). Further, Wilson and Brewer (1992) found that rates of victimization were greater for individuals living in institutions. The Crime Victims with Disabilities Awareness Act became law in 1998 to "increase public awareness of the plight of victims of crime with developmental disabilities, to collect data to measure the magnitude of the problem, and to develop strategies to address the safety and justice needs of victims of crime with developmental disabilities" (P.L. 105–301, October 27, 1998). NCVS now includes statistics on the rate of victimization of individuals with disabilities.

In examining the data collected as part of the 2008 NCVS, Harrell and Rand (2010) found that the rate of violent crime against individuals with disabilities is twice that of individuals without disabilities. When adjusted for age, the rate of victimization for individuals with disabilities is two to three times higher than it is for individuals without disabilities for each type of violent crime measured (rape/sexual assault, robbery, aggravated assault, and simple assault). When measuring rates of victimization for rape/sexual assault, the age-adjusted victimization rate for individuals with disabilities is more than twice that of individuals without disabilities. Individuals with disabilities were slightly less likely than individuals without disabilities to offer resistance to the offender during a crime. Within measured categories of disability (hearing, vision, ambulatory, cognitive, self-care, and independent living), individuals with cognitive disabilities had the highest risk of victimization (Harrell & Rand, 2010).
These data are limited in that they do not include those residing in institutions. A significant number of individuals with disabilities reside in institutions, particularly those with severe disabilities. In addition, the format for the NCVS interviews may limit the ability of individuals with hearing or cognitive disabilities to participate. Individuals with cognitive disabilities may have difficulty understanding the terminology used in the interview questionnaire, and individuals with hearing impairments may not be able to participate in telephone interviews. Finally, when proxy interviews are allowed for individuals who are not able to answer for themselves due to cognitive or communication challenges, it may lead to underreporting of victimization. The proxy responder may not know about the victimization, or could even be the perpetrator of abuse. In these cases, the crime would go unreported (Harrell & Rand, 2010).

The issue of sexual offending against individuals with disabilities is receiving more attention today than in the past, yet both the rate and characteristics of sexual victimization involving individuals with disabilities is not well understood. Clearly, more and better data are needed to determine the extent of sexual offending against this population.

**Sexual Offending Against Members of the Military**

Depending on the population studied and the definitions used, the extent of sexual offending against members of the military varies widely. Studies have produced estimates suggesting that as few as 4 percent and as many as 78 percent of armed forces members have been the victim of a sex offense (Bastian, Lancaster, & Reyst, 1995).

Unfortunately, there has been little consistency across studies in the methodologies, sample population characteristics, definitions of sexual offending, and the wording of questions used to determine if a sex offense has occurred. In response to congressional reporting requirements, the U.S. Department of Defense (DoD) now collects data on sexual offending against members of the active-duty military. However, this data collection effort addresses only active-duty personnel and has only been in place since 2002. A major shortcoming of the data collected by the department is the reluctance of service members to report acts of sexual misconduct. Scholars are beginning to look at the experience of military personnel both during active military service and after, but far more work is needed to understand the incidence and prevalence of sexual offending against this population.

In recent decades, increasing numbers of women have entered military service, and they now serve in a variety of roles. The Navy's Tailhook convention scandal in 1991 and the cases of sexual harassment and sexual assault at the Army training camp in Aberdeen, MD, in 1996 drew public attention to how women are treated in the military.

The department undertook a study of sexual harassment among active-duty military members in 1994 and published the results in 1995. This was the first study of its kind since 1988 (Bastian, Lancaster, & Reyst, 1995). Results of this study showed that 55 percent of women and 14 percent of men reported one or more incidents of harassment at work during the prior year. When asked about unwanted “sex-related attention” at work or off duty, 78 percent of women and 38 percent of men reported that they had been harassed in the prior year (Bastian, Lancaster, & Reyst, 1995). Five types of unwanted sex-related attention reported are sexual assault, sexual coercion, unwanted sexual attention, sexist behavior, and crude/offensive behavior. Table 1 shows the results for women and men respondents.

| Table 1. Percentage of Women and Men Subject to Unwanted Sex-Related Attention, by Type |
|----------------------------------------|---------------------------------|---------------------------------|
| Type                                   | Percentage of All Respondents Reporting |
|                                        | Women   | Men   |
| Any type (one or more)                 | 78       | 38     |
| Sexual assault                         | 6        | 1      |
| Sexual coercion                        | 13       | 2      |
| Unwanted sexual attention              | 41       | 8      |
| Sexist behavior                        | 63       | 15     |
| Crude/Offensive behavior               | 70%      | 35%    |


Many service members did not consider the experiences they reported to be sexual harassment. Although 78 percent of women and 38 percent of men reported experiences that fell into the five categories presented in table 1, only 52 percent of women and 9 percent of men indicated that their experiences constituted sexual harassment (Bastian, Lancaster, & Reyst, 1995). Of those who indicated sexual harassment in the survey, 24 percent reported their experience to someone else, including 40 percent of women and 17 percent of men (Bastian, Lancaster, & Reyst, 1995). For those who reported the experience to someone else, the vast majority were not investigated, with only 14 percent of women and 4 percent of men indicating that the harassment was being investigated. Further, 10 percent of women and 7 percent of men were encouraged to drop their complaint, and 23 percent of women and 16 percent of men indicated their report was not taken seriously. Of those who reported the incident, 21 percent of women and 12 percent of men indicated that a supervisor or coworker was hostile after the complaint was reported (Bastian, Lancaster, & Reyst, 1995).

Since 2002, the department has been required by law to conduct a quadrennial Workplace and Gender Relations Survey of Active Duty Members. This survey assesses the extent of “unwanted sexual contact” between military service members (Rock et al., 2011). Data from 2006 found that 6.8 percent of women and 1.8 percent of men on active duty experienced some form of unwanted sexual contact during the previous year (Whitley, 2010). In the same study, 34 percent of women and 6 percent of men experienced some form of sexual harassment. These statistics may underestimate the extent of
women and 0.7 percent of men (Kimerling et al., 2010). This study was a cohort analysis of veterans accessing administration services after returning from Afghanistan or Iraq shows MST in 15.1 percent of veterans returning from Afghanistan and Iraq. The first national, population-based study of veterans has also supported research to understand the extent and consequences of MST. Veterans Affairs as sexual assault or harassment during military service. Recognizing the importance of active, recent attention has focused on Military Sexual Trauma (MST), defined by the U.S. Department of Veterans Affairs as sexual assault or harassment during military service. Recognizing the importance of providing services, department hospitals now provide free services to survivors of MST, regardless of their eligibility for any other department services (Center for Women Veterans, 2011). The department has also supported research to understand the extent and consequences of MST.

Since 2002, the Veterans Health Administration has implemented universal screening for MST for veterans returning from Afghanistan and Iraq. The first national, population-based study of veterans accessing administration services after returning from Afghanistan or Iraq shows MST in 15.1 percent of women and 0.7 percent of men (Kimerling et al., 2010). This study was a cohort analysis of the medical records of 22,000 women and 143,000 men. High rates of postdeployment mental health conditions were found among all patients, and those with MST were significantly more likely to have a mental health diagnosis. This study may underestimate the extent of MST due to underreporting. Burnam and colleagues (2009) documented the stigma associated with seeking help among Afghanistan and Iraq war veterans. Examples of the stigma associated with help-seeking for MST are shame, desire to maintain unit cohesion, and fear related to reporting a fellow service member with whom the victim may continue to work.

Underreporting of incidents of sexual offending is a serious problem. A U.S. Government Accountability Office (GAO) survey found that half of service members who had indicated they had been sexually assaulted in the prior year did not report the assault (GAO, 2008). Sadler and colleagues (2003) reported that one-third of military women were uncertain of specific steps to take to report a rape and only 26 percent of victims reported their rape to a superior officer. It has been suggested that the range of reasons for not reporting include the victim's fear that he or she will not be believed, shame, and fear of retaliation, being negatively judged, being revictimized, having to continue to serve alongside the perpetrator, and being prosecuted for other problematic or illegal behavior that occurred at the time of the attack, such as underage drinking, adultery, and so forth (DoD, 2004; GAO, 2008; Mullins, 2005; U.S. Air Force, 2002). Sadler and colleagues (2003, p. 5) found that one-fifth of victims did not report their attack because they believed that “rape was to be expected in the military.”

In a study with a convenience sample of 196 female veterans, 72 percent reported that they had experienced sexual abuse (Himmelfarb, Yeager, & Mintz, 2006). This study differs from others in that it asked participants about sexual abuse that occurred during their childhood, during adulthood but before military service, during the time of their military service, and after their military service. Many other studies of MST among female veterans focus on the time of military service or solely on adulthood, and therefore are not comparable. This study was conducted at a Veterans Administration hospital in Los Angeles. The sample is not representative of the ethnic makeup of female veterans as a whole, or of those in other regions. The study participants volunteered to be in the study and may differ in their level of MST from those who chose not to participate. Also, the sample may not be representative of female veterans as clinical populations typically report higher levels of trauma than nonclinical populations (Himmelfarb, Yeager, & Mintz, 2006).

The issue of sexual offending against members of the military has received significant attention in the media in recent years, and DoD has placed a renewed emphasis on prevention and intervention policies and practices. Still, more study on the extent, nature, and dynamics of sexual offending involving members of the military is warranted to determine future policy directions.

**Sexual Offending Against Native Americans in Indian Country**

Although .9 percent of the U.S. population is Native American (U.S. Census Bureau, 2011), these 2.9 million individuals are not a uniform group. There are 565 federally recognized tribes, according to the Bureau of Indian Affairs (BIA); each tribe has its own culture, history, and traditions. No single, standardized repository of crime data exists to measure the extent of sexual offending against victims in Indian Country. Even though none of the existing estimates regarding the extent of sexual offending or victimization in Indian Country are precise, the available data consistently indicate that Native American women experience violent victimization and sexual victimization at significantly higher rates than other women in the United States.

NCVS’s most recently published results do not provide victimization rates for Native Americans. This is due to the reduction in the overall sample size for NCVS that recently occurred and the impact it had on the size of subsamples for certain groups, such as Native Americans. In short, Native Americans are no longer sampled in sufficient numbers to provide valid statistics for the group. To have an accurate national estimate of victimization against Native American women, NCVS would need to return to its original sample size.

In NVAWS, conducted in 1995 and 1996, 34 percent of Native American women reported a victimization of rape at some point in their life—the highest victimization rate of any racial or ethnic group and nearly twice the national average for all ethnic groups. The number of male rape victims cannot be estimated from this source due to the low numbers reported (Tjaden & Thoennes, 2006). The data sample for this survey included telephone interviews with a total of 88 Native American women and 105 Native American men. Caution is necessary when generalizing about 2.9 million Native American women and men from this sample of 193 individuals.
In their 1998 research, Tjaden and Thoennes found that lifetime victimization rates for stalking are higher for Native American women than for women of any other ethnicity examined in their study. For Native American women, the lifetime rate of victimization for stalking was 17 percent, while the rate for the study population as a whole was 8.2 percent (Tjaden & Thoennes, 1998). Similarly, Native American men reported higher lifetime victimization for the crime of stalking, with a rate of 4.8 percent compared to the 2.3 percent lifetime rate for men in the study population as a whole.

Available estimates of the extent of victimization are consistent in indicating high levels of victimization among Native American women. Few large-scale studies exist to describe the nature of victimization of Native American women. However, the studies that do exist point to a need for further research.

Hamby found that Native American women were twice as likely as women of other racial and ethnic groups to say that police would not believe them or would blame them if they reported a rape (Hamby, 2008). Hamby also found other barriers for Native American victims in seeking help from law enforcement, including prejudice, conflict between Western and native values, language barriers, and poverty. Getting help is also complicated by jurisdictional issues if the crime takes place in Indian Country, as often it is not clear which law enforcement entity has jurisdiction to prosecute the crime. For crimes that occur on Indian lands, jurisdiction for handling the investigation may fall to federal, state, or tribal law enforcement, depending on whether the perpetrator is Native American or not as well as on the nature of the crime (e.g., felony, misdemeanor).

Bachman and colleagues (2010) found that rapes involving Native American women are more severe than rapes committed against other women. Analyzing archived data from NCVS, Bachman and colleagues (2010) found that 94 percent of rapes reported by Native American women involved physical assault, compared to 74 percent of rapes reported by non-Native American women. Fifty percent of Native American women rape victims were physically injured during the rape, compared to 30 percent of non-Native American women rape victims. Finally, more than three times as many rapes of Native Americans involved weapons—34 percent compared to 11 percent (Bachman et al., 2010).

Hamby and Skupien (1998) conducted in-person interviews with 117 women living on the San Carlos Apache reservation and found that in their current relationship, 75 percent had experienced physical violence from their partner and 62 percent had been injured by their partner. In a study of 341 women who visited health clinics located on the Navajo reservation, Fairchild, Fairchild, and Shirley (1998) found that 42 percent had been physically assaulted and 12 percent had been sexually assaulted by a partner in their lifetime.

Comparisons across these studies are difficult as the sample sizes, sampling methods, study methods, and definitions used are different. Many of the studies use convenience samples, which may make the results less generalizable to the broader population. Further, there may be differences in the experience of Native American women in rural areas and urban areas, yet this has not been studied. Also, methods of data collection differ. For example, Tjaden and Thoennes used a telephone survey with random-digit dialing to select participants; Fairchild, Fairchild, and Shirley (1998) used in-person interviews conducted among medical clinic populations; and Hamby and Skupien (1998) used in-person interviews but recruited volunteers via media outreach.

Amnesty International found that Native American victims seeking help at their local health facility may not get the help they need, as facilities often lack rape kits or the specialized training needed to preserve evidence for use at trial. The organization reported that 44 percent of Indian Health Service facilities lack personnel trained to provide emergency services to respond to sexual violence, and 30 percent lack the basic protocols for treating victims (Amnesty International, 2007).

Similarly, the scarcity of resources in the criminal justice system in Indian Country is also a challenge. For Fiscal Year 2008, the Bureau of Indian Affairs reported that more than 30 Indian reservations had violent crime rates that exceeded the national average. Many of these reservations have law enforcement staffing shortages that require a handful of officers to cover geographically large areas. For example, according to the Senate report accompanying the Tribal Law and Order Act of 2009 (Report 111–93), the Wind River Indian Reservation in Wyoming (with a violent crime rate that is more than three times higher than the national rate) has only six or seven officers to patrol 2.2 million acres of Reservation land. With two or three officers on duty at any given time, each could be responsible for covering 1 million acres (U.S. Senate, 2009).

Sexual assault has a significant impact on members of the Native American community. Despite the limitations of the available data, sexual victimization appears to occur disproportionately among Native American women, and resources for preventing and responding to sexual offenses in Indian Country appear to be inadequate and fragmented. While more research and better data collection systems are needed to document and understand sexual offending and victimization in Indian Country more thoroughly, there is little question that the problem of sexual offending against Native Americans warrants greater attention.

Summary

Although credible conclusions are difficult to make given the limitations of the available data, statistics from several key sources suggest that the incidence of sexual offending may be declining. UCR data on sex crimes reported to the police, NCVS data on sexual victimizations, and NCANDS data on substantiated reports of child abuse and neglect all present a consistent picture of declining incidence over time. Whether this pattern can be explained by factors other than a true decline remains subject to debate, but the convergence of key indicators and other empirical evidence suggests that the true decline hypothesis should be further examined and not dismissed (Finkelhor & Jones, 2004). Keeping in mind the limitations of the data, policymakers are encouraged to monitor key indicators of incidence over time and to work with researchers to better understand the factors influencing patterns in the data, including the roles of various policies and practices designed to prevent, treat, or otherwise intervene in sexual offending behavior.
Knowledge Gaps and Recommendations for Future Research

With so many inconsistencies and uncertainties in the available data, identifying the most important knowledge gaps and priorities for future research is a daunting task. Nevertheless, to improve our understanding of the incidence and prevalence of sexual offending, several of the most pressing issues that warrant examination are as follows:

- **Rates of disclosure.** Evidence indicates that victims sometimes choose not to disclose crimes that have been committed against them. In some cases, it may be to save themselves from reexperiencing the trauma of the event. The SOMAPI forum participants identified the need for additional research concerning the ways the criminal justice system contributes to underreporting and the steps that can be taken to address the problem and improve support for victims.

- **Victim perception of the crime.** With so many victims not labeling a sexual victimization as a crime or a rape, further study is needed to help identify the factors within the victimization experience (e.g., offender manipulation, posttraumatic stress disorder) that shape victims' perceptions of the offending behavior.

- **Wording of questions.** Some evidence suggests that the way in which questions are worded in a victimization survey will influence reported levels of sexual violence. For example, Cook and colleagues (2011) reported that when the question uses the tactic of leading with a behaviorally specific description of an unwanted sexual act rather than a question about the sexual act, greater rates of victimization and perpetration are described by both men and women. This merits further investigation.

- **Prevention.** The literature on what works in preventing sexual abuse is neither complete nor rigorous. More study in this area could provide insight into how best to allocate scarce resources.

- **Vulnerable populations.** More research is needed to understand the extent and nature of sexual victimization of individuals in vulnerable situations, including children in schools or youth programs, young adults on college campuses, the elderly, individuals with disabilities, and those living in rural and hard-to-reach areas (including Native American and Alaska Native women and men). Individuals in settings such as these may have limited ability to protect themselves or seek help after victimization.

**RESULTS FROM THE SOMAPI INVENTORY OF PROMISING PRACTICES**

**Q: What would you identify as gaps or needs in your field?**

- Additional specialized training, ongoing professional development for treatment and supervision staff.
- Appropriate release placements for sex offenders...
- Better communication with the releasing agency about where the offender is going to reside.
- Qualified sex offender therapists to handle these cases.
- Community education and involvement.
- Public education … housing restrictions have significantly negatively influenced offender success in the community.

**Q: What type of assistance can the SMART Office provide to help you do your job better or address these gaps/needs?**

- Support of research; start-up financing for new approaches; political support for evidence-based initiatives.
- Remain aware of trends and actual best practices, and serve as information dissemination and sharing source, and help to define standards for best practice.
- Develop mechanisms to make sex offender case files and court documents more accessible to law enforcement.
- Provide resources to train parole about effective case management collaboration.

Without valid data on the nature and extent of sexual victimization, policymakers and practitioners are more likely to rely on anecdotes, opinions, or stereotypes rather than facts when developing prevention and intervention strategies. Gaining a better understanding of the extent and nature of sexual victimization will help policymakers and practitioners develop responses that are both more effective and more responsive to the needs of victims.

Given the current state of our knowledge base, there is an acute need to both improve and expand our data on the incidence and prevalence of sexual victimization. In particular, work should be done to enhance the comparability of incidence and prevalence data from different sources and time periods. Currently, methodological variations—including differences in the ways sex crimes and victimizations are defined—make comparisons across data sources and time periods challenging. Agencies responsible for administering data collection efforts should actively seek opportunities to implement common and consistent data collection methodologies when possible. Funding for such efforts and for the expansion of data collection is critically needed.

There also is an acute need to learn more about the underreporting of sex crimes. Steps should be taken to create an environment in which victims feel appropriately supported and protected in the criminal justice and service delivery systems.

"Sex offenders do not typically self-report sex crimes."

Many of the barriers to reporting have already been identified through research, but SOMAPI
forum participants acknowledged the need for further study in this area. In addition, policymakers must be committed to making the types of changes within the criminal justice and service delivery systems that are needed to overcome reporting barriers. Just as importantly, steps should be taken to help ensure that victims are not re-traumatized when reporting any victimization to authorities or when supporting the prosecution of perpetrators.

Finally, it is important to acknowledge that even when sex offender management approaches are designed and delivered based on scientific evidence, hidden offending presents significant challenges. (For more on “Sex Offender Management Strategies,” see chapter 8 in the Adult section.) Given the number of sex crimes that go unreported, the number of sex offenders that have never come to the attention of authorities, and the disproportionate attrition of certain sex offenses and sex offenders within the criminal justice system, any perception or expectation on the part of the public or policymakers that sex offender management professionals working in the community are providing victims and the public with protection against all sex offenders is unrealistic. Simply put, there are many unidentified sex offenders who are not being managed within existing systems and much reoffending that is not accounted for in the management process. Therefore, practitioners must be up front about these limitations and expectations for sex offender management on the part of the public, and policymakers must be tempered accordingly.

Notes

1 The following eight crimes that are reported to the police make up Part I of UCR: criminal homicide, forcible rape, robbery, aggravated assault, burglary (breaking or entering), larceny-theft (other than motor vehicle theft), motor vehicle theft, and arson.

2 The limitations of the pre-2012 definition are highlighted in the sidebar “UCR Strengths and Weaknesses.” Based on the noted weaknesses, Attorney General Eric Holder announced a revision to the UCR definition of rape to include penetration of the anus by any body part or object and penetration of the mouth by a sex organ, and also to add male victims (Holder, 2012).

3 Similar to the UCR definition of forcible rape, the NCVS definition of rape/sexual assault is also currently being revised to facilitate the development of standard measurements of rape and sexual assault.

4 In 2000, NIJ and BJS compared the methodologies of NCVS and NVAWS and found that behaviorally specific questions outside of the crime context substantially increased reporting of violence.

5 The following results are discussed in Blake (2011).

6 For example, being hit with a fist, beaten, or slammed against something.

7 Screened-in reports are those that the state child protection agency has determined warrant further investigation or some other type of response (screened out = no further action).

8 Both SIJ and SISFCF are currently being reformulated, and SISFCF will next be completed for inmates in 2012.

9 A number of other specialized topic areas related to sexual victimization are not addressed here (e.g., sexual victimization occurring within detention centers, jails, and prisons, as emphasized by the Prison Rape Elimination Act [PREA]). For more information on this topic, read PREA Data Collection Activities, 2011, http://bjs.ojp.usdoj.gov/content/pub/pdf/pdca11.pdf.

10 Nonprobability samples can include a convenience sample, which is a study of subjects taken from a group that is accessible to the researcher (e.g., college students), or snowball sampling, which is typically used for harder-to-access groups, by targeting the social networks between group members to build a sample.

11 See the previous section in this chapter on the DAFR study.

12 The term "unwanted sexual contact," although not defined in the Uniform Code of Military Justice (UCMJ), is an umbrella term designed to encompass certain acts prohibited by UCMJ, including rape, nonconsensual sodomy (oral or anal sex), and indecent assault (unwanted, inappropriate sexual contact or fondling) (Rock et al., 2011).

13 The "other race" category in NCVS now includes “American Indians, Alaska Natives, Asians, Native Hawaiians, and other Pacific Islanders” (BJS, 2011).

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